

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE ACTING AS THE DESIGNATED/ELECTED OFFICE

In re: Patent application of John D. LAMBRIS

U.S. Appl. No.

10/528,496

I.A. No.

PCT/US03/29653

I.A. Filing Date: 22 September 2003 (22.09.2003)

Confirmation No.: 4405

For:

**COMPSTATIN ANALOGS WITH** 

**IMPROVED ACTIVITY** 

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, the references listed below and on the accompanying substitute Form PTO-1449 are being identified in the above-captioned application. In accordance with the Official Gazette Notice of August 2003 regarding 37 C.F.R. § 1.98(a)(2)(i), copies of U. S. patent references are not enclosed.

The references identified as Cite Nos. 9, 10 and 11 were cited in the International Search Report in corresponding International Application No. PCT/US03/29653. A copy of the International Search Report is enclosed for the Examiner's information.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10
EXPRESS MAIL Mailing Label Number:
Date of Deposit:
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed,
and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO
ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Mail Stop
PCT, Commissioner for Patents, P.O. Box 1450-Alexandria, VA 22313-1450
Mula ha
Signature of person mailing/page:
KAREN M. SPINA
Type or print name of person

PHIP\535620\1

INFORMATION DISCLOSURE STATEMENT US NATL. PHASE OF PCT/US03/29653

10528496 - GAU: 1654

ATTORNEY DOCKET NO.: 46483-0001 (229798)

(formerly UPN-4470/P2942)

The Examiner is respectfully requested to review the items listed on the substitute PTO Form 1449 and make them of record in the instant application as required by M.P.E.P. §609.

The Information Disclosure Statement transmitted herewith is being filed after three months of the filing date of this national application or the date of entry of the national stage as set forth in § 1.491 in an international application, but before the mailing date of the first Office Action on the merits. Accordingly, no certification, petition, or fee should be required. However, should a fee be due, please charge the fee to Deposit Account No. 50-0573.

This Information Disclosure Statement should not be construed as a representation that the cited references are material or prior art, or that more relevant references do not exist.

Respectfully submitted,

Date: 3/2/2007

BY\_

JANET E. REED, Ph.D. Registration No. 36,252

Drinker Biddle & Reath LLP

One Logan Square

18<sup>th</sup> and Cherry Streets

Philadelphia, PA 19103-6996

TEL: (215) 988-3111 FAX: (215) 988-2757 Attorney for Applicant

10528496 - GAU: 1654

SHEET 1 OF 3

SUBSTITUTE FORM PTO-1449
U.S. DEPARTMENT OF COMMERCE
U.S. PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTY. DOCKET NO. 46483-0001-00-US 229798

APPLICATION NUMBER 10/528,496 (I.A. PCT/US03/29653

FIRST NAMED INVENTOR

John D. Lambris

FILING DATE
To be assigned
(I.A. 22 SEPT 2003)

ART UNIT

To be assigned

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER  Number-Kind Code (if known)	PUBLICATION DATE (MM/DD/YYYY)	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR
/MA/	1	4,299,838	11/10/1981	Durlach	
7000000E	2	4,576,750	03/18/1986	Pitzenberger	
	3	4,807,097	09/26/1989	Makovec, et al.	
000000000000000000000000000000000000000	4	5,167,960	12/01/1992	Ito, et al.	
200000000000000000000000000000000000000	5	5,256,642	10/26/1993	Fearon, et al.	
000000000000000000000000000000000000000	6	5,776,970	07/07/1998	Shechter, et al.	
	7	6,169,057	01/02/2001	Lovatt	
00000	8	6,214,790 B1	04/10/2001	Richelson, et al.	
V	9	6,319,897 B1	11/20/2001	Lambris, et al.	·
/MA/	10	US 2001/0023066 A1	09/20/2001	Kinders, et al.	

## **FOREIGN PATENT DOCUMENTS**

TOTELANT ATENT BOOMENTO							
Cite No.	FOREIGN PATENT DOCUMENT Country Code-Number- Kind Code (if known)	PUBLICATION DATE (MM/DD/YYYY)			PAGES, COLUMNS, LINES, WHERE RELEVANT PASSAGES OR RELEVANT FIGURES APPEAR	F	
11	WO 99/13899 A1	03/25/1999	TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA				
12	WO 91/16345 A1	10/31/1991	RIJKSUNIVERSITEIT TE UTRECHT				
13	WO 95/23512	08/09/1995	ALEXION PHARMACEUTICALS, INC.				
GNATUR	Maury Audet/			DATE CONSIDERED	06/21/2010		
	11 12 13	No. DOCUMENT  Country Code-Number- Kind Code (if known)  11 WO 99/13899 A1  12 WO 91/16345 A1  13 WO 95/23512	Cite No.         FOREIGN PATENT DOCUMENT         PUBLICATION DATE (MM/DD/YYYY)           Country Code-Number-Kind Code (if known)         03/25/1999           11         WO 99/13899 A1         03/25/1999           12         WO 91/16345 A1         10/31/1991           13         WO 95/23512         08/09/1995	Cite No.         FOREIGN PATENT DOCUMENT         PUBLICATION DATE (MM/DD/YYYY)         NAME OF PATENTE CITED DOCUMENT           11         WO 99/13899 A1         03/25/1999         TRUSTEES OF TOF PENNSYLVA           12         WO 91/16345 A1         10/31/1991         RIJKSUNIVERSITUTRECHT           13         WO 95/23512         08/09/1995         ALEXION PHARM INC.	Cite No.    FOREIGN PATENT   DOCUMENT   Country Code-Number-Kind Code (if known)	Cite No.    FOREIGN PATENT   DOCUMENT   Country Code-Number-Kind Code (if known)	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

10528496 - GAU: 1654

SHEET 2 OF 3

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE ATTY. DOCKET NO. 46483-0001-00-US 229798 APPLICATION NUMBER 10/528,496 (I.A. PCT/US03/29653

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FIRST NAMED INVENTOR
John D. Lambris

FILING DATE
To be assigned
(I.A. 22 SEPT 2003)

ART UNIT

To be assigned

NON PATENT LITERATURE DOCUMENTS

EXAMINER Cite No.		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Publisher, city and/or country where published				
/MA/	14	BOWIE et al., "Deciphering the Message in Protein Sequences: Tolerance to Amino Acid Substitutions", <i>Science</i> 247:1306-1310 (1990)		Amino Acid		
1	15	FIANE, et al., "Prolongation of Ex Vivo-Perfused Pig Xenog Inhibitor Compstatin", <i>Transplantation Proceedings</i> 31:934		Complement	T	
	16	FIANE, et al. "Compstatin, a peptide inhibitor of C3, prolong xenografts", <i>Xenotransplatation</i> 6: 52-65 (1999)		o perfused pig	$\dagger$	
2000000000	17	FIANE, et al., "Modulation of Fluid-Phase Complement Act a Porcine-to-Human Xenograft Model", <i>Transplantation Pro</i>			†	
	18	FURLONG, et al., "C3 activation is inhibited by analogs of inhibitors or peptidyl α-ketoheterocycles", <i>Immunopharmac</i>	compstatin but not b	y serine protease	1	
20000000000	19	KALLI, et al., "Therapeutic uses of recombinant compleme in Immunopathology 15:417-431 (1994)			1	
000000000000000000000000000000000000000	20	KLEPEIS, et al., "Predicting Peptide Structures Using NMF Optimization", Journal of Computational Chemistry 20 (13)		nistic Global		
200000000000000000000000000000000000000	21	KLEPEIS, et al., Integrated Computational Experimental Appesign of Compstatin Variants with Improved Activity", J. A.	pproach for Lead O			
000000000000000000000000000000000000000	22	MALLIK, et al., "Conformational Interconversion in Compst Simulations", PROTEINS: Structure, Function, and Genetic	atin Probed With Mo	olecular Dynamics		
000000000000000000000000000000000000000	23	MORIKIS, et al., "Solution structure of Compstatin, a poten 7:6190-627 (1998)			-	
000000000000000000000000000000000000000	24	MORIKIS, et al., "Design, Structure, Function and Application in Drug Discovery and Design: Medical Aspects, J. Matsou Press, pp. 235-246 (1999)				
000000000000000000000000000000000000000	25	MORIKIS, et al., "The Structural Basis of Compstatin Activities based Design of Peptide Analogs and NMR", <i>The Journal</i> 14953 (2002)				
0000000000	26 MORIKIS, et al., "Structural aspects and design of low-molecular-mass complement inhibitors", Biochemical Society Transactions 30 (6):1026-1036 (2002)					
ж	27	NILSSON, et al., "Compstatin Inhibits Complement and Cellular Activation in Whole Blood in Two Models of Extracorporeal Circulation", <i>Blood</i> 92 (5):1661-1667 (1998)				
V	28	NGO, et al., "Computational Complexity, Protein Structure Prediction, and the Levinthal Paradox", The Protein Folding Problem and Tertiary Structure Prediction, pp. 492-495 (1994)				
/MA/	29	SAHU, et al., "Inhibition of Human Complement by a C3-Bi Displayed Random Peptide Library", <i>Journal of Immunolog</i>				
XAMINER S	IGNATURE		DATE CONSIDERED	06/21/2010		

Include copy of this form with next communication to applicant.

10528496 - GAU: 1654

SHEET 3 OF 3

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE U.S. PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 46483-0001-00-US 229798	APPLICATION NUMBER 10/528,496 (I.A. PCT/US03/29653
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	John D. Lambris	
	FILING DATE To be assigned (I.A. 22 SEPT 2003)	ART UNIT  To be assigned

**NON PATENT LITERATURE DOCUMENTS** 

EXAMINER INITIALS	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), Publisher, city and/or country where published	7				
/8.4.6./	30	SAHU, et al., "Binding Kinetics, Structure-Activity Relationship, and Biotransformation of the	1				
/MA/		Complement Inhibitor Compstatin", The Journal of Immunology 165:2491-2499 (2000)					
8	31	SAHU, et al., "Compstatin, a peptide inhibitor of complement, exhibits species-specific binding to					
		complement component C3", Molecular Immunology 39:557-566 (2003)	<u> </u>				
8000000	32	SCHASTEEN, et al., "Synthetic Peptide Inhibitors Of Complement Serine Proteases –III.					
90		Significant Increase In Inhibitor Potency Provides Further Support For The Functional Equivalence					
		Hypothesis", Molecular Immunology 28 (1/2):17-26 (1991)	╄				
80	33	SOULIKA, et al., "Inhibition of Heparin/Protamine Complex-Induced Complement Activation by					
	<u></u>	Compstatin in Baboons", Clinical Immunology 96 (3):212-221 (2000)	$\perp$				
8	34	SOULIKA, et al., "Studies of Structure-Activity Relations Of Complement Inhibitor Compstatin",					
		The Journal of Immunology 170:1881-1890 (2003)	+				
900	35	ZACHARIAS, et al., "Cation-π interactions in ligand recognition and catalysis", <i>Trends in</i>					
		Pharmacological Sciences 23 (6):281-287 (2002)					
N/	36	BABITZKE, et al., "Structural Features of L-Tryptophan Required for Activation of TRAP, the trp					
₩		RNA-binding Attenuation Protein of Bacillus subtilis", 270 (21):12452-12456 (1995)	$\perp$				
15.8.8.1	37	BEENE, et al., "Cation— $\pi$ Interactions in Ligand Recognition by Serotonergic (5-HT <sub>3A</sub> ) and					
/MA/		Nicotinic Acetylcholine Receptors: The Anomalous Binding Properties of Nicotine", <i>Biochemistry</i>					
-	ļ	41:10262-10269 (2002)	4.				
		-,	$\perp$				
			╁				
			╁				
			+				
			+				
			+				
EXAMINER SI	GNATUR	E /Maury Audet/ DATE CONSIDERED 06/21/2010					
		Maury Audet/   06/21/2010   06/21/2010					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered Include copy of this form with next communication to applicant.